Social network targeting to maximise population behaviour change: a cluster randomised controlled trial

David A Kim, Alison R Hwong, Derek Staff ord, D Alex Hughes, A James O’Malley, James H Fowler, Nicholas A Christakis

Summary

Background Information and behaviour can spread through interpersonal ties. By targeting influential individuals, health interventions that harness the distributive properties of social networks could be made more effective and efficient than those that do not. Our aim was to assess which targeting methods produce the greatest cascades or spillover effects and hence maximise population-level behaviour change.

Methods In this cluster randomised trial, participants were recruited from villages of the Department of Lempira, Honduras. We blocked villages on the basis of network size, socioeconomic status, and baseline rates of water purification, for delivery of two public health interventions: chlorine for water purification and multivitamins for micronutrient deficiencies. We then randomised villages, separately for each intervention, to one of three targeting methods, introducing the interventions to 5% samples composed of either: randomly selected villagers (n=9 villages for each intervention); villagers with the most social ties (n=9); or nominated friends of random villagers (n=9; the last strategy exploiting the so-called friendship paradox of social networks). Participants and data collectors were not aware of the targeting methods. Primary endpoints were the proportions of available products redeemed by the entire population under each targeting method. This trial is registered with ClinicalTrials.gov, number NCT01672580.

Findings Between Aug 4, and Aug 14, 2012, 32 villages in rural Honduras (25–541 participants each; total study population of 5773) received public health interventions. For each intervention, nine villages (each with 1–20 initial target individuals) were randomised, using a blocked design, to each of the three targeting methods. In nomination-targeted villages, 951 (74·3%) of 1280 available multivitamin tickets were redeemed compared with 940 (66·2%) of 1420 in randomly targeted villages and 744 (61·0%) of 1220 in indegree-targeted villages. All pairwise differences in redemption rates were significant (p<0·01) after correction for multiple comparisons. Targeting nominated friends increased adoption of the nutritional intervention by 12·2% compared with random targeting (95% CI 6·9–17·9). Targeting the most highly connected individuals, by contrast, produced no greater adoption of either intervention, compared with random targeting.

Interpretation Introduction of a health intervention to the nominated friends of random individuals can enhance that intervention’s diffusion by exploiting intrinsic properties of human social networks. This method has the additional advantage of scalability because it can be implemented without mapping the network. Deployment of certain types of health interventions via network targeting, without increasing the number of individuals targeted or the resources used, could enhance the adoption and efficiency of those interventions, thereby improving population health.

Funding National Institutes of Health, The Bill & Melinda Gates Foundation, Star Family Foundation, and the Canadian Institutes of Health Research.
entire networks. We therefore explore both a conventional measure of network centrality (so-called indegree, defined as the number of times a person is named as a social contact by other people), and an alternative strategy that does not require ascertainment of global network structure (namely, seeding a network via the friends of randomly selected individuals). The latter strategy exploits the so-called friendship paradox of human social networks: on average, the friends of randomly selected individuals are more central in the network than the individuals who named them; colloquially, “your friends have more friends than you do.” But despite its theoretical promise and its demonstrated efficacy in the early detection of outbreaks, friendship nomination, to our knowledge, has never been tested experimentally as a targeting strategy for a real-world network intervention. If effective, this strategy would identify targets likely to foster cascades without mapping whole networks, a crucial condition for scalable network strategies in resource-limited settings.

We therefore conducted a randomised controlled trial of network targeting algorithms using two common but dissimilar public health interventions: chlorine for water purification and multivitamins for micronutrient deficiencies. Our aim was to assess which targeting methods produce the greatest cascades or spillover effects and hence maximise population-level behaviour change. In comparable villages, we delivered the same public health interventions to the same fraction of the population (5%), varying only the method of selecting targets. Because we are interested in the production of spillovers that change the knowledge and behaviour of untargeted individuals, we prospectively followed all members of all villages to measure their knowledge and behaviour with respect to the interventions.

**Methods**

**Study design and participants**

In this cluster randomised controlled trial, participants were recruited from villages of the Department of Lempira, Honduras. Lempira is a rural, mountainous, coffee-growing region in which geographic barriers and little transportation tend to isolate villages from one another. All villages in the area were eligible for inclusion; however, eligible individuals had to be at least 15 years old. Between 79.5% and 96.8% of all adults in each village participated in the study, with an overall participation rate of 86.7%.

We measured the entire social network of each village by asking all residents to identify spouses, siblings, and friends from a photographic census (appendix p 2). We subsequently conducted a public health needs assessment with community leaders, who identified diarrhoeal illness and nutritional deficiencies as prevalent local health concerns; we therefore selected chlorine for water purification and multivitamins for micronutrient deficiencies as the public health interventions to deploy.

We conducted a baseline survey to assess knowledge, attitudes, and behaviours surrounding water purification and nutrition, and followed villagers (whether targeted or not) for their knowledge and behaviours (appendix p 2).

The study was approved by the Institutional Review Board of Harvard Medical School. All participants provided informed consent.

**Randomisation and masking**

In the design of this study, we used design-of-experiments principles, including randomisation, blocking, and the ideas of orthogonalisation and balanced treatment assignments (applied with respect to the handling of the two interventions; appendix p 3) to obtain precise and unbiased estimates of the effects of three network targeting strategies (random, highest indegree, and friendship nomination) on the diffusion and uptake of two health interventions (multivitamins for micronutrient deficiencies and chlorine for water purification). We randomised villages to targeting mechanisms to ensure that the distribution of potential (observed and unobserved) confounding variables would be the same (in expectation) across the villages.

To better isolate the effect of targeting method from potential village-level influences on product adoption, which in 32 villages could be unevenly distributed across targeting methods by chance alone, we divided the 32 villages into eight blocks on the basis of network size, mean socioeconomic status, and baseline rates of water purification (appendix pp 3–4, 8–10). We used the results of a factor analysis to form an aggregate score that explained most of the variance in the three blocking variables. We then found the assignment of villages to blocks that minimised the ratio of within-block to between-block variance in the distribution of the composite score (appendix p 3).

After blocking, we randomly assigned each village in each block to targeting methods for multivitamins and chlorine (indegree targeting, nominated friends targeting, random targeting, or no intervention), using a fractional-factorial design (appendix pp 3, 9). That is, for each of the two interventions separately, nine of the 32 villages were targeted randomly, nine by highest indegree, and nine by the nominated friends technique. Six villages received only one intervention and two villages received neither intervention. For each village receiving both multivitamin and chlorine interventions, we used a different targeting method for each intervention. Participants and data collectors were not aware of the targeting methods.

**Procedures**

In indegree-targeted villages, we targeted the 5% of villagers named as a contact most often by others in their village. In the nomination-targeted villages, we targeted a 5% sample of villagers composed of one randomly chosen friend nominated by each member of a 5% random sample of villagers. In the randomly targeted
villages, we targeted a random 5% sample of villagers (see appendix p 4 for details of targeting algorithms). Figure 1 shows the targeting of each intervention for a representative block of villages. In the weeks following the introduction of the interventions, we tracked the diffusion of products and knowledge among all villagers, targeted and untargeted.

During the course of 1 day for each village, we delivered to each targeted individual an intervention consisting of a health product, instructions for use, and an educational...
component (appendix p 6). We also gave targeted individuals supplementary information about the interventions that was not generally known at baseline or circulated by other means, and asked them to relay this information to others, allowing us to track the diffusion of knowledge as well as of product adoption by the study’s completion. Multivitamin targets received 60 adult multivitamins (see appendix p 2 for formulation). Chlorine targets received a 250 mL plastic bottle of sodium hypochlorite with a medicine dropper. A small proportion of villagers were drawn, by chance, as targets for both products, and so received both (appendix p 4).

Each targeted villager also received four tickets to distribute to friends or family outside the household but within the village, who could in turn redeem the ticket for the same product at a local store for a nominal fee to the shopkeeper. Targets were asked to instruct the villagers to whom they gave tickets about the correct usage and benefits of the product. Each of these initial (first-wave) ticket redeemers received, with their product, a packet of four additional (second-wave) tickets for distribution to additional villagers. Every ticket was uniquely identified and was signed, dated, and checked by a participating shopkeeper against a list of eligible study participants upon redemption, which enabled us to track the diffusion of products through the village networks with time.

We used ticket redemption for multivitamins and chlorine as our primary measure of behaviour because ticket redemption was the most accurately and comprehensively recorded measure of product uptake (ie, we know the identity without exception of every individual who redeemed a ticket, and the exact date on which she did so), and because it allowed us to trace with the greatest social and temporal resolution the rate and extent of product diffusion through the village networks, without relying on participants’ recollection or self-report.

We supplemented this objective behavioural measure (ticket redemption) with self-reports of knowledge and practice as well, conducting an extensive follow-up survey in all villages, 4–6 weeks after the interventions, in which we asked villagers (whether or not they had redeemed a ticket) about their use of the products, their attitudes concerning the products’ utility and effectiveness, and a series of factual questions about their correct usage and benefits (from which the knowledge scores, our secondary outcomes, were derived, as detailed in appendix p 6).

After completion of the entire trial, we donated additional multivitamins and other supplies to all villages in the study.

Outcomes
The primary outcomes were the proportions of available products redeemed by the entire population under each targeting method. We evaluated the basic diffusion of the products by calculating, for each day after the initial delivery of interventions to the targeted villagers, the proportion of available tickets redeemed for each product in each group of villages (ie, indegree, nomination, and random targeting). Each of these tickets was redeemed by a study participant who had received a ticket from a targeted villager or from a first-wave ticket redeemer. Both waves of ticket redemption are pooled in our population-level analyses.

The secondary outcomes were the proportions of villagers under each targeting method attaining high knowledge scores. To assess knowledge transmission, we formed composite 0–10 scores for each intervention, using the first component from a principal components analysis of the knowledge and usage questions from the follow-up survey (appendix p 6). We then calculated, for each intervention, the proportion of respondents under each targeting method (indegree, nomination, and random) achieving scores in the top quartile.

Statistical analysis
We evaluated the effect of targeting method at both the population and the individual level. At the population level, we used χ² tests to assess differences in the proportions of tickets redeemed or high scores attained across the three targeting methods, for each intervention. To ensure that our aggregate results were not driven by variation in individual-level or village-level characteristics other than targeting method, we also estimated the effect of targeting method on the hazard of individual tickets being redeemed, using mixed-effects Cox models to control for both individual-level and village-level characteristics, and controlling for possible interference between the two interventions in villages receiving both (appendix p 5). We also estimated the effect of targeting method on knowledge transmission with multilevel logit models of high knowledge score attainment, again controlling for both individual-level and village-level characteristics (appendix pp 6–7).

We account for the presence of simultaneous interventions in certain villages in both the design and the analysis of the study. At the design stage, we use multiple instances of all targeting methods for both interventions, and permute the combinations across villages so as to best mitigate potential interference between interventions, and to allow for simple interference tests to be performed (appendix p 3). In our mixed-effect models of ticket redemption (appendix pp 11–12), we control for interference between the two interventions by including a ticket redeemer’s redemption of a ticket for the opposite intervention as a time-varying covariate (appendix p 5). For models of high knowledge score attainment (appendix p 13), we estimate the effects of the targeting methods of both products on the attainment of a high knowledge score for either product.

We calculated confidence intervals for the ratios of binomial parameters (such as ticket redemption rates) using a skewness-corrected likelihood score-based method,23 and for the ratios of mean target group
properties using a method adjusted for heteroscedasticity. All analyses were performed in R (version 3.1).

This trial is registered with ClinicalTrials.gov, number NCT01672580, and we did not deviate from our original analytic plan.

**Role of the funding source**

The trial was an investigator-initiated study supported by grants from the National Institutes of Health, the Bill & Melinda Gates Foundation, the Star Family Foundation, and the Canadian Institutes of Health Research. None of the funding sources had any role in the design, conduct, or analysis of the study, the writing of the report, or the decision to submit it for publication. All authors had full access to all the data in the study. NAC had final responsibility for the decision to submit for publication.

**Results**

Between Aug 4, 2012, and Aug 14, 2012, 32 villages in rural Honduras (25–541 participants each; total study population of 5773) received public health interventions (figure 2). 2944 (51%) of the 5773 participants were women. The mean age was 35 years (SD 14). 1160 (20·1%) respondents were unmarried, and respondents had a mean of 4·2 years (SD 2·4) of formal schooling.

For each intervention, nine villages (each with 1–20 initial target individuals) were randomised to each of the three targeting methods (figure 2).

Consistent with network theory, the targeting methods succeeded in identifying different segments of the village networks in the three treatment groups of the study. Targets in indegree-targeted villages had 2·2 times (95% CI 2·0–2·5) the mean indegree of randomly chosen targets, and 1·6 times (1·4–1·9) the mean indegree of targets chosen by the nomination method. These nominated targets, meanwhile, had 1·4 times (95% CI 1·2–1·6) the mean indegree of random targets.

First, we evaluated ticket redemption rates (representing product adoption) and knowledge scores at follow-up (representing knowledge diffusion) for the multivitamin intervention (figure 3, left panel). In nomination-targeted villages, 951 (74·3%) of 1280 available multivitamin tickets were redeemed compared with 940 (66·2%) of 1420 in randomly targeted villages, and 744 (61·0%) of 1220 in indegree-targeted villages. All pairwise differences in redemption rates were significant (p<0·01) after correction for multiple comparisons (appendix p 5). Hence, targeting nominated friends increased population-level adoption of a nutritional intervention by 12·2% (95% CI 6·9–17·9), an 8·1% point increase in product...
adoption. Figure 4 shows the effect of targeting method on product diffusion cascades for two representative villages: compared with random targeting, nomination targeting produced both more rapid and more thorough adoption of the multivitamin intervention.

These results concord with our multilevel Cox models of ticket redemption, in which we estimate a hazard ratio of 1·65 (95% CI 1·10–2·47) for redemption of first-wave tickets under nomination targeting, compared to random targeting, and controlling for individual-target-level and village-level characteristics (appendix p 11). For the redemption of second-wave tickets, our estimated hazard ratio of 1·15 under nomination targeting compared to random targeting does not reach statistical significance (p=0·54); a larger sample may have allowed us to detect effects out to two degrees of separation from the initial targets.

With respect to knowledge, 30·8% of untargeted ticket recipients attained high knowledge scores in nomination-targeted villages, compared with 27·6% in both indegree and randomly targeted villages. Although the differences in aggregate proportions are not statistically significant (p>0·05), a multilevel logit model controlling for both individual-level and village-level characteristics (appendix p 13) reveals a positive and statistically significant effect of nomination targeting (compared with random targeting) on the attainment of high multivitamin knowledge scores (OR 1·66 [95% CI 1·02–2·70]).

Conditional on ticket redemption, no significant variation was noted in self-reported product use or belief in the products’ effectiveness by targeting method (random, indegree, or friend nomination). Self-reported continued product use among confirmed ticket redeemers was uniformly high (>90%). These results suggest that ticket redemption (in which we did observe significant variation by targeting method, both at the population level, as well as in mixed-effects models accounting for individual-level and village-level co-variates and for possible interference between interventions) is indeed a valid omnibus measure of product adoption and continued use, while the knowledge scores we report provide additional data about the differential spread of health information not predicted by product use alone.

We accounted for potential interference between the two interventions at both the village and the individual level. All such robustness tests support a positive effect of nomination targeting on the uptake of the multivitamin intervention (appendix pp 3, 5–6, and 11). The mean village-level multivitamin ticket redemption rate is statistically indistinguishable between those villages that received both multivitamin and chlorine interventions (on average, 73·1% of these villages’ available multivitamin tickets were redeemed), and those villages that received the multivitamin intervention alone (on average, 73·8% of these villages’ available multivitamin tickets were redeemed); note that these are

Figure 3: Diffusion of interventions
The left panel shows the pooled proportion of available multivitamin tickets redeemed by day after initial targeting, by treatment group. The right panel shows the equivalent measure for the chlorine intervention.

Figure 4: Multivitamin diffusion through two representative villages
In all villages receiving a given intervention, 5% of the adult population was initially targeted with the intervention. The left panel shows the diffusion of multivitamins in a village whose five initial targets were selected at random. The right panel represents a village of comparable size whose six initial targets were the nominated friends of random villagers. Every targeted villager received four tickets to distribute to contacts outside the household, who could in turn redeem the ticket for the same product and for four additional tickets to give to others. The colored lines represent completed ticket-redemption paths: shorter lines represent tickets redeemed more quickly. Light grey lines represent available tickets that were not redeemed. Compared with the randomly targeted village, multivitamins in the nomination-targeted village diffused more rapidly (the average time-to-redemption of first-wave tickets is substantially shorter) and more completely (a greater proportion of available tickets was ultimately redeemed).
village-level redemption rates, as distinct from the pooled proportions depicted in figure 3. Likewise, controlling for possible interference in our mixed-effect models does not alter the trend we observe at the village level (appendix p 11).

Finally, we undertook corresponding analyses for the chlorine intervention (figure 3, right panel). For this intervention, final ticket redemption rates were statistically indistinguishable (p>0.05) across targeting methods: 55.6% for random targeting, 55.9% for indegree, and 54.5% for nomination. Although we observe the same trend in knowledge scores as for multivitamins (38.4% high scores in nomination-targeted villages, compared with 36.0% in indegree targeted villages and 35.0% in randomly targeted villages), the aggregate differences are not statistically significant. Corresponding individual-level models likewise showed no significant effect of targeting method on ticket redemption or knowledge scores in the case of the chlorine intervention (p>0.05; appendix pp 12–13).

Discussion
In a randomised controlled trial in 32 villages, we evaluated network-based approaches to maximise population-level behaviour change. Our results show no evidence that health interventions benefit from targeting only the most highly connected individuals. However, a second technique, in which we selected targets by exploiting the friendship paradox, produced significantly larger cascades of product adoption and health knowledge than either random or indegree targeting (panel). For our nutritional intervention, targeting nominated friends increased population-level behaviour change by 12.2% compared with random targeting; it was also associated with enhanced health knowledge among untargeted individuals.

Of our two network targeting methods, indegree targeting requires the expenditure of substantial resources to map the whole network, because everyone in the population must be asked to whom they are connected to identify the individuals receiving the most nominations. It is therefore fortunate that the friendship nomination technique, which exploits the properties of human social networks without requiring that the entire network be mapped, produced the greatest behavioural cascades. Compared with methods requiring whole-network (sociocentric) data, targeting the nominated friends of a randomly selected group can furnish the benefits of network targeting in a more scalable and less resource-intensive fashion. Indeed, because high-degree individuals in human social networks tend to be friends with one another, targeting nominated friends might outperform targeting the highest-degree individuals if the latter strategy produces redundant clustering among targets, resulting in an echo chamber of influence that fails to reach more dispersed or peripheral parts of the network.

Panel: Research in context

Systematic review
We searched Google Scholar for articles published in English between 1990, and 2012, with the search terms "social network" OR "social networks" OR "network" AND "intervention" OR "trial". From our review of the available literature, we concluded that there have been numerous observational studies of contagion in social networks, but very few efforts to exploit network phenomena to maximise the diffusion of desirable knowledge or behaviour with respect to health. The few trials conducted to this end have typically involved either small face-to-face populations or large online networks. We noted evidence that a randomised, prospective, large-scale trial of network targeting in a face-to-face population could contribute to a better understanding of social networks and health, with implications for the design of public health interventions in the developed and developing world.

Interpretation
In 32 villages, we evaluated network-based approaches to maximise population-level behaviour change. For a nutritional intervention, targeting the friends of randomly selected individuals produced significantly greater population-level adoption and health knowledge than targeting either random or highly connected individuals. This method has the advantage of scalability, because it can be implemented in the field without mapping the network. Our findings suggest that network targeting can be used to efficiently increase the adoption of certain types of public health interventions. Further trials will be needed to characterise the targeting methods best suited to different classes of interventions.

This could be especially likely in networks with meaningful community structure, in which there are subgroups of interconnected individuals, each with their own locally influential nodes: in such networks, indegree targeting risks selecting nodes only from the most densely connected subgroup. Our experimental results concord with recent simulations in which indegree-based targeting produces lower adoption rates than even random targeting. By combining virtues of random targeting (namely, the selection of targets dispersed through the network) and highest-indegree targeting (the selection of highly connected and potentially influential individuals), the nomination method may prove more robust than either across a range of real-world network structures.

Social networks amplify the information and behaviours with which they are seeded, but the nature of this diffusion depends on the innovation being transmitted. The complexity of understanding and implementing a new practice, the visibility of its results, and its perceived advantage over existing methods can all affect adoption patterns. Simple information (regarding, for instance, the availability of subsidised...
multivitamins) can spread by simple contagion, requiring only one contact for transmission between two individuals. Deeper behavioural changes, by contrast, might require reinforcement from multiple social contacts (complex contagions),\textsuperscript{6,7} perhaps because they require great motivation (as in the case of behaviours like smoking cessation), or because they require changes in longstanding practices and beliefs (as in the case of water chlorination in rural Honduras).

We selected for our study two interventions with very different social and behavioural implications: chlorine is widely available and affordable, even by rural Honduran standards, but its main use is for washing clothing. Chlorinating water is a fairly complex, multistep process. Thus, the chlorine intervention demanded the acquisition of new knowledge and a change in the use of a familiar product. By contrast, multivitamins in rural Honduras are easy to use and widely viewed as beneficial, but are relatively more difficult and costly to obtain. The multivitamin intervention, then, demanded substantially less behavioural and ideational change. Consistent with the theory of complex contagion,\textsuperscript{6,7} these fundamental differences between our two interventions might account in part for the robust success of nomination targeting in increasing the adoption of multivitamins, but not of chlorine for water purification, for which an altogether different targeting method might have been effective.

Diarrhoeal illness and malnutrition account for a sizeable burden of disease in rural Honduras,\textsuperscript{27,28} which is why we assessed water purification and multivitamins in our setting. But countless other health interventions in the developed and developing world stand to benefit from network targeting, including immunisation,\textsuperscript{36,39} anti-malarial bednets, maternal health care, safe sex practices, smoking cessation,\textsuperscript{39} substance abuse prevention,\textsuperscript{39} helmet and seatbelt use, and many more. The extraordinary variety of health states and behaviours noted to spread through social networks\textsuperscript{5,11} probably means that no one targeting method will prove optimum for all interventions. Simulations\textsuperscript{30,37,38,39} and observational studies\textsuperscript{49} suggest strategies to be tested in experiments such as ours, the results of which can in turn inform the design of more accurate models of behavioural cascades. Future research will continue to characterise the targeting methods best suited to different types of interventions, and, although no universally optimum solution is likely to be found, general principles, such as the efficiency of nomination-based targeting for the diffusion of certain types of phenomena, are likely to emerge.

Our study has limitations. First, we used ticket redemption and associated survey responses as our primary measures of behaviour, and did not record the use of the products in people’s homes. Second, the villages in our study were isolated from one another, which, although ideal for a controlled experiment, could yield different patterns of diffusion than would more intermingled populations. Third, longer-term follow-up in the villages would allow us to determine whether the knowledge and behavioural changes associated with a given targeting method persist with time. Although fade out is endemic to certain educational interventions, this effect could vary according to network structure and the targeting method of the intervention.\textsuperscript{11}

Network targeting could prove most useful in settings in which limited resources or infrastructure render broadcast interventions infeasible, but even when networks are more fully characterised (eg, with big data techniques\textsuperscript{30}), methods to efficiently identify structurally influential individuals, as well as the people around them who are likely to be influenced, could prove useful in the design of more cost-effective campaigns. In this way, deploying health interventions via network targeting, without increasing the number of people targeted or the expenses incurred, could enhance the spread and adoption of those interventions, and thereby improve population health.

Contributors

DAK, ARH, DS, DAH, AJO’M, JHF, and NAC designed the study. DAK, ARH, DS, and DAH collected the data. DAK, AJO’M, JHF, and NAC analysed the data. DAK, AJO’M, JHF, and NAC interpreted the data. DAK, ARH, and NAC did the literature search. DAK, JHF, and NAC drew the figures and wrote the report.

Declaration of interests

NAC reports grants from the National Institutes of Health (P-01 AG031093, P-30 AG034420), from the Bill & Melinda Gates Foundation, and support from the Star Family Foundation. DAK was supported by the Canadian Institutes of Health Research and ARH was partially supported by NIH F30 AG046978. All other authors declare no competing interests.

Acknowledgments

We thank The Clorox Company and Tishcon Corporation for their donations of supplies used in the study in Honduras. We thank Laurie Meneades, Peter Treut, Jen Zlu, Michael DeWit, Bret Abel, Tom Keegan, and our local community health workers and leaders in Honduras—Bany Carballo, Mirna Castellanos, Orlin Chavez, Martina Hernandez, Marco Antonio Miranda, and Dikita Ponce—for the expert assistance required to collect and assemble the dataset.

References


