



Social network predictors of latrine ownership



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ABSTRACT

Poor sanitation, including the lack of clean functioning toilets, is a major factor contributing to morbidity and mortality from infectious diseases in the developing world.

We examine correlates of latrine ownership in rural India with a focus on social network predictors. Participants from 75 villages provided the names of their social contacts as well as their own relevant demographic and household characteristics. Using these measures, we test whether the latrine ownership of an individual's social contacts is a significant predictor of individual latrine ownership. We also investigate whether network centrality significantly predicts latrine ownership, and if so, whether it moderates the relationship between the latrine ownership of the individual and that of her social contacts.

Our results show that, controlling for the standard predictors of latrine ownership such as caste, education, and income, individuals are more likely to own latrines if their social contacts own latrines. Interaction models suggest that this relationship is stronger among those of the same caste, the same education, and those with stronger social ties. We also find that more central individuals are more likely to own latrines, but the correlation in latrine ownership between social contacts is strongest among individuals on the periphery of the network.

Although more data is needed to determine how much the clustering of latrine ownership may be caused by social influence, the results here suggest that interventions designed to promote latrine ownership should consider focusing on those at the periphery of the network. The reason is that they are 1) less likely to own latrines and 2) more likely to exhibit the same behavior as their social contacts, possibly as a result of the spread of latrine adoption from one person to another.

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1. Background and significance

1.1. The problem of sanitation

Diarrheal diseases are one of the most common causes of death for children under the age of 5, with almost 50% of those deaths occurring in India, Nigeria, Pakistan, Democratic Republic of Congo, and China (Black et al., 2010). Poor sanitation, including the lack of clean functioning toilets, is a major factor contributing to these outcomes (Fewtrell et al., 2005; Pruss et al., 2002) and increasing access to sanitation is an important part of the Millennium Development Goals (MDG) (WHO and UNICEF, 2006). Exposed fecal matter pollutes ground water, drinking water, soil, and food sources

(Bonu and Kim, 2009). Besides its contribution to morbidity and mortality due to diarrheal diseases, exposed fecal matter also spreads diseases like typhoid and parasitic infections caused by worms (Nath, 2003).

Not surprisingly, research shows that increasing access to latrines is a significant way to decrease diarrheal morbidity for children under 5 in India (Kumar and Vollmer, 2011), although, importantly, the effect of a child's individual household latrine ownership is relatively insignificant compared to the effect of increasing community-wide latrine ownership. For instance an analysis by Spears found that open defecation accounts for much of the childhood stunting in India (Spears, 2013). In some ways, latrine ownership is a classic network good, creating positive externalities for others beyond the actual owner and user. However, for an individual latrine user, the results of those positive externalities (i.e. lower rates of diarrhea and other fecal-transmitted infectious diseases, lower rates of stunting) may be hard to observe and/or hard to attribute to the proportion of her village that uses latrines, and

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therefore the spread of latrines may rely more on the change of normative influences than on the observed health benefits of adoption.

Although India has made considerable progress in increasing the number of latrines around the country, close to 74% of the population still defecates in the open (Bonu and Kim, 2009). Rural access to latrines in the south Indian state of Karnataka, from which the data for this study was collected, is consistent with that of the rest of the country. While approximately 75% of urban households have access to latrines, only 17% of those in rural areas have access (Baligar, 2006), although government-sponsored latrine building campaigns in the last decade have increased that number to approximately 30% through some areas of the state (Meenakshisundaram, 2008).

Socio-economic predictors of household latrine ownership in India are not unexpected. Besides living in an urban area, those with the most education and highest incomes are the most likely to have latrines (Bonu and Kim, 2009; Dickinson and Pattanayak, 2012; Veerashkharappa and Bhide, 2009). These factors affect both the resources to build a latrine as well as the exposure to, and understanding of, reasons why having a latrine would be beneficial. Caste may also serve as a proxy for many of these factors (Bonu and Kim, 2009). Those of the scheduled castes and scheduled tribe groups have historically been marginalized both socially and economically, and, consistent with these characteristics, they are the groups least likely to own latrines (Bonu and Kim, 2009; Veerashkharappa and Bhide, 2009). It has been suggested, however, that these groups are also geographically stymied with respect to latrine ownership given housing situations with little access to the kind of drainage necessary for a properly functioning latrine (Bonu and Kim, 2009). In some areas, it has also been found that Hindus are less likely to own latrines, as they believe that latrines situated close to their residences are polluting and find the latrines themselves “disgusting” (Meenakshisundaram, 2008).

More nuanced studies have found that, even controlling for more obvious demographic factors, social norms and social expectations may drive latrine building decisions (Pattanayak et al., 2009). For instance, results from a qualitative study in Benin highlighted the fact that latrine-building decisions were primarily rooted in motivations such as prestige, and had little to do with concerns regarding health (Jenkins and Curtis, 2005). Indian villagers have reported preferring open defecation because it allows people the chance to chat together, or because it is a time honored custom in their community (Dickinson and Pattanayak, 2012). Results from a study assessing the effects of Community Led Total Sanitation (CLTS) demonstrated that galvanizing entire communities was a necessary aspect of a latrine adoption campaign, and that the adoption decisions of the entire community were one of the strongest influences in a household's decision to build a latrine (Dickinson and Pattanayak, 2012). The most successful latrine building campaigns have been those initiated by CLTS that successfully shift the norms of the community towards intolerance of open defecation, and that foster community willingness to invest in building latrines (Dyalchand et al., 2008). While the Indian government has increased its efforts to provide latrine coverage for the nation, modeling its programs after CLTS, it has been unsuccessful at achieving positive results for those living in the most poverty, those belonging to marginalized castes, and those living in rural areas (Bonu and Kim, 2009). Government subsidies for building latrines have been largely unsuccessful as deeper social forces, including caste-based social divides, seem to affect the adoption of latrines within communities (Chambers, 2009; Lamba and Spears, 2013). Pattanayak et al. (2009) have found, in fact, that for those above the poverty line, social shaming is a more economical and efficacious strategy for promoting latrine adoption than the use of

subsidies, although monetary support may be necessary for those below the poverty line.

1.2. Perspectives on social effects

Research on social norms has highlighted the difference between “descriptive norms”, which are prevalent behaviors within a community, and “injunctive norms” or norms that are enforced within a community through sanctions – either positive sanctions for behaving within normative expectations, or negative sanctions for normative violations (Cialdini et al., 1991; Lapinski and Rimal, 2005). As new norms begin to become entrenched in a community, there is often a tipping point, or a point at which a high enough proportion of the population has adopted the new process that from there on it begins to spread more rapidly, such that it may seem like an instantaneous change (Burke and Young, 2009; Sunstein, 1996).

In some developing world communities, the practice of open defecation may be simply a descriptive norm, and persist because it is commonly practiced. In other areas, however the practice may be an injunctive norm, and those who attempt to transgress may be ridiculed or criticized. For instance, researchers in Kenya discovered that normative taboos around defecation include the belief that the feces of a father-in-law and daughter-in-law should not mix together, and therefore open defecation is a means by which this restriction is protected (Bwire, 2010). In order to discourage people from practicing open defecation, programs such as CLTS are attempting to foster injunctive norms to create an atmosphere of shame for those who defect from appropriate sanitation practices (Dyalchand et al., 2008). With this strategy, community members who defecate in the open receive negative sanctions, such as ridicule and public shaming. Villages are encouraged to be “open-defecation free”, a process that requires all of the members of a community to change their behavior as well as monitor the behavior of others.

Social contagion, a term which refers to the mechanism by which social behaviors can diffuse through a population, can differ according to the type of norm held in place. *Social learning* is more likely to take place in the context of descriptive norms, when individuals observe others engaging in a behavior which seems beneficial, such as having a latrine for their household (Kohler et al., 2001; Montgomery and Casterline, 1996). The fact that others have taken the risk to engage in the behavior and seem to be accruing benefits from it makes it easier for the individual to adopt the behavior themselves. On the other hand, *social influence* processes are those that occur when behaviors are encouraged or constrained due to injunctive norms. The CLTS programs are designed with the idea that social influence dynamics can be cultivated in order to abolish the practice of open defecation within villages. Individuals are afraid to defect because to do so would risk the disapprobation of those in their social networks.

Social network analysis can be used to elucidate some of the nuances of these processes. Research on networks has suggested that many behavioral processes are in fact the function of network dynamics. Broadly speaking, two main network mechanisms can impact the behavioral decision of any individual: connection and contagion.

Contagion occurs when information or behaviors spread through a network from individual to individual. Recent research on network contagion has suggested that a wide variety of health-related and social behaviors and outcomes may spread from person to person through social networks in both observational and experimental settings (Christakis and Fowler, 2007, 2012; Fowler and Christakis, 2010; Rosenquist et al., 2010). These dynamics have been observed up to three degrees of separation, and they may

also transcend homogeneous peer-to-peer network relations (Shakya et al., 2012).

Network studies that look at connection offer additional insight by demonstrating how the structural position of an individual might impact that individual's behavior. Centrality measures, for instance, indicate which individuals are most connected within a network, and are positively correlated with their ability to influence others, and their tendency to be influenced (Christakis and Fowler, 2010b; Christley et al., 2005; Gayen and Raeside, 2010a; Rothenberg et al., 1995).

Intervention strategies are increasingly focused on targeting the most central individuals with the idea that they will be able to positively influence others to adopt an innovation (Fujimoto et al., 2009; Valente, 2005). For example, Banerjee et al. (2013) studied the network diffusion of the decision to participate in a micro-finance program among villagers in rural India. They found that the overall participation in microfinance programs was significantly higher when the introduction of information occurred among more centrally positioned individuals (Banerjee et al., 2013). On the other hand, the most central individuals are also at a higher risk if the behavior or outcome being transmitted through the network is negative such as substance abuse, or an infectious disease (Christakis and Fowler, 2010b).

1.3. This study

Few studies have quantitatively characterized the predictors of latrine ownership in developing country settings, and while the results of qualitative studies suggest that the success of latrine building campaigns seem to hinge on socially driven factors, even fewer studies have examined the relationship between social network characteristics and latrine ownership. A working paper by Dickinson and Pattanayak (2012) used the results of a randomized control trial of the CLTS program strategy to assess to what degree social factors drive latrine adoption. They found that, after accounting for a wide range of village and household characteristics, a household is more likely to adopt a latrine if their neighbors do. Similarly researchers in Benin found that neighborhood levels of latrine adoption were significantly associated with an increased probability that households in those neighborhoods would build a latrine (Jenkins and Cairncross, 2010).

While these studies are suggestive of network effects, none used social network data in which direct connections between individuals could be mapped and analyzed (Smith and Christakis, 2008). With social network data, connections between individuals are directly reported rather than inferred, allowing the calculation of direct rather than indirect effects between individuals and their social contacts: in other words we can statistically model the possibility of contagion. Of equal importance, having complete network data offers the opportunity to analyze the potential role of connection. Network centrality has been found to facilitate the spread of a new innovation in some contexts, while constraining that spread in others (Gayen and Raeside, 2010a; Valente, 2005). The analysis of network centrality offers researchers a new metric in the quest to understand the relationship between social dynamics and health behavior as well as the efficacy of health behavior interventions.

Here, we use network data collected from 75 villages in rural Karnataka to test whether having social contacts with latrines is associated with the probability that an individual will have a latrine. Going beyond these measures, we also test whether a person's place in the overall network is significantly associated with their probability of latrine ownership. While being central or peripheral to the network may impact an individual's chance of owning a latrine, potentially through increased exposure to

latrine building norms or interventions, it may also act to moderate the relationship between the latrine ownership of an individual and that of their social contacts, illustrating an interaction between connection and contagion effects. While the observational nature of the data makes it impossible to be certain about causality, our results can nevertheless inform future research on this topic.

2. Methods

2.1. Data

We use *sociocentric* network data collected by Abhijit Banerjee and colleagues from 75 villages in rural Karnataka, in Southern India (Banerjee et al., 2013; Jackson et al., 2012). Sociocentric studies focus on a small population and attempt to ascertain all of the social relationships within a set of interconnected individuals (Marin and Wellman, 2011). This is in contrast to *egocentric* network studies that focus on a larger population and attempt to ascertain all of the social relationships of a set of randomly chosen individuals who are usually not connected to one another. Whereas egocentric data may help to improve the representativeness of a sample for a large population, sociocentric data allows measurement of larger network structures (like communities) and individual level network measures based on them.

In Karnataka, data were collected in 2006–2007 as part of a study to understand the network diffusion of micro-finance. A complete census was taken by interviewing one person within each *household* within the village, regarding household characteristics such as latrine ownership, and roof construction. Individual surveys were then used to collect demographic and network data from over one half of eligible households (those with women between the ages of 18 and 57), which were randomly chosen using stratification by religion and geographic sub-location (Banerjee et al., 2013). Respondents included eligible women within each household and their spouses. The total number of individuals interviewed was 16,984. After removing observations with missing data, our analysis included data on 16,579 individuals coming from 6811 households or approximately 46% of all households per village. Of these, 6543 were household heads, 5919 were spouses of household heads, and 4117 were other individuals in the household. We excluded any network data representing ties in which a surveyed individual nominated a non-surveyed individual. We defined our population as being eligible women and their spouses, and links to others outside this population, like any sociocentric network study, were unavoidably excluded. An additional feature was the sampling on such women; but work by Banerjee et al. (2013) suggests that such sampling, especially at such a high sampling fraction, does not meaningfully bias network statistics.

Participants reported their age, gender, religion (Hindu or Muslim), and mother tongue (Kannada, Tamil, Telugu, or Hindi). Participants were also asked to identify to which caste they belong (scheduled caste, scheduled tribe, obc (other backward caste), or general). Education was measured using 16 levels ranging from none to higher degree. Household quality variables included roof type (6 categories), number of rooms in the home, number of beds in the home, and household electricity (private electricity, government electricity, or no electricity). Consistent with prior work in traditional agrarian societies in which data regarding actual income is unreliable, we used these household quality measures as a proxy for income (Morris et al., 2000). An additional income measure was the type of ration card held by each individual. Ration cards are used in India to guarantee government subsidies for food depending upon income, which we categorized as BPL (below poverty level), APL (above poverty level), and not holding a card.

Our outcome variable was a binary measure of household latrine ownership.

2.2. Network measures

A “name generator” is the survey instrument used in social network data collection to elicit the important ties of individuals (Marin, 2004). In this study, respondents (here termed *egos*) were asked to name up to 8 individuals (here termed *alters*) for each name generator, and there were 12 name generators administered. These included asking respondents who they: 1) borrow money from, 2) give advice to, 3) help with a decision, 4) borrow kerosene or rice from, 5) lend kerosene or rice to, 6) lend money to, 7) obtain assistance during a medical emergency from, 8) regularly talk to outside of the household, 9) are related to (outside of the household), 10) go to temple with, 11) invite to one’s home, 12) visit in another’s home.

Because previous research has shown that networks derived from multiple name generators can more successfully measure network characteristics related to network composition (Marin and Hampton, 2007), we collated data from all 12 name generators to create one comprehensive network. Each network tie indicated that one individual had named the other (or vice versa) in at least one name generator. We then calculated tie strength by summing the total number of name generators in which one of them named the other. This gives us a way to quantify multiplexity, or the degree to which the relationship between two people encompasses different types of exchange or interaction (Feld, 1981). The resulting dataset yielded an undirected weighted network in which the weight on each tie ranged from 1 to 12.

Using the *igraph* library in *R*, we calculated three individual level network centrality measures for each individual in each village. *Degree* (Proctor and Loomis, 1951) is simply the total number of unique social contacts that nominate or are nominated by the respondent. *Closeness centrality* (Sabidussi, 1966) is the inverse of the average social distance between a respondent and all other people in the network, where the social distance between friends is 1, between friends of friends is 2, and so on. To make its scale comparable to other variables, we transformed it by multiplying it by 100. *Betweenness centrality* (Freeman, 1977), is the number of shortest paths in the network that pass through a respondent divided by the total number of shortest paths. This measure identifies the extent to which an individual in the network is critical for passing anything from one individual to another. The higher this number, the greater the effect would be on the total average distance for the network if this person were removed (Wasserman and Faust, 1994). Because of the skewed distribution of betweenness, we transformed it by adding 1 and taking the log.

Homophily is the tendency for individuals to form social ties with others that are similar to them (McPherson et al., 2001). In this case, we wanted to test whether the correlation between ego and alter’s latrine ownership varied according to homophily on significant characteristics. We created binary measures for each dyad: one to assess whether the respondent and her social contact were of the same caste, and one to assess whether they had the same level of education.

2.3. Statistical analyses

Our final dataset consisted of one observation for each ego-alter dyad, including pertinent covariates for both individuals. This dyadic model provided us the most precision when including covariate information for both the ego and the alter (as opposed to a model with one observation per ego and averaged measures for the alters). We first conducted bivariate analyses to test associations

Table 1

Summary Statistics Individual Survey from 75 villages in rural Karnataka India, $N = 16,579$.

	Mean (SD)	
Age in years	39.30 (12.5)	
Education mean years	5.02 (4.65)	
Number of rooms in house	2.62 (1.54)	
Number of beds in house	1.05 (1.62)	
Tie strength ^a	3.12 (2.45)	
Total degree centrality	9.1 (4.72)	
Betweenness centrality ^b	4.82 (1.5)	
Closeness centrality ^c	30.7 (5.77)	
	Proportion of total sample	Proportion with household latrine
Gender (% female)	55%	30%
Caste		
Scheduled caste	25%	13%
Scheduled tribe	6%	13%
OBC	56%	37%
General	12%	45%
Ration card category		
Above poverty	17%	56%
Below poverty	67%	22%
Does not own card	16%	38%
Religion		
Hindu	96%	30%
Islamic	4%	47%
Language		
Kannada	75%	32%
Tamil	4%	23%
Telugu	17%	23%
Hindi	4%	49%
Other	0.2%	43%
Roof-type		
Thatch	2%	7%
Tile	31%	17%
Stone	31%	33%
Sheet	18%	28%
RCC	14%	67%
Other	4%	15%
Household electricity		
Private	66%	41%
Govt.	29%	10%
No	6%	6%
Latrine ownership yes	30.4%	
Dyadic measures (% of 117340 dyads)		
Same caste (% of 117340 dyads)	77%	
Same education (% of 117340 dyads)	24%	
Village-level across 75 villages		
Average ^b of components per village	1.10 (0.31)	
Average path length per village across all villages	3.19 (0.33)	
Average village density	0.04 (0.01)	

^a Tie strength value calculated using full dyadic dataset ($n = 117,340$).

^b Betweenness (be) centrality transformation: $\log(\text{be} + 1)$.

^c Centrality (tc) transformation: $\text{tc}^* 100$.

with ego latrine ownership using simple logistic regression and χ^2 tests. Variables that were at least marginally significant in the bivariate analyses ($p < 0.10$) were included in a multivariate model, for parsimony. We then interacted significant ($p < 0.05$) individual level covariates and the two homophily measures with the main predictor (alter’s latrine ownership). To account for village-level factors that may contribute to possible latrine ownership, such as geography or exposure to government latrine building campaigns, we included village-level fixed effects in all of our multivariate models.

To account for autocorrelation that may arise from multiple observations of the same household, we used generalized estimating equation (GEE) procedures that cluster on household and assume an independent working correlation structure for the

clusters. The GEE regression models in the tables provide parameter estimates in the form of beta coefficients, whereas the results reported in the text are in the form of odds ratios. We include many controls in these models, but the key coefficient is alter's latrine ownership (see Table 2).

3. Results

Summary statistics for the sample population are presented in Table 1. The mean age was 39, with approximately 54% of participants female. Roughly 31% of the sample was scheduled caste (25%) and scheduled tribe (6%), groups who have historically been the most disadvantaged and marginalized. The great majority of the participants were Hindu. Thirty-eight percent of the participants had no education, with the mean number of years of education being approximately 5 (SD 4.64). The mean number of participants per village was 221 (SD 70). There were a total of 117,340 dyadic observations used in the analyses with a mean degree centrality score of 9.1 (SD 4.72). Some 30% of homes had a latrine, which is higher than the 17% average in rural India as a whole. The 2011 census shows the overall proportion of households with a latrine in Karnataka to be 51.2% although this varies widely from 11.2% in the district of Yadgir to 94.8% in the district of Bangalore (India, 2011). The majority of the homes had private electricity (66%), with 29% using government electricity and 6% having no electricity at all.

Table 1 shows the proportion of individuals in each demographic group having a latrine. Latrine ownership is higher among those with higher caste status, more education, private electricity, and more technologically advanced roof construction.

We present network characteristics in Table 1. Each village was a discrete network and, as such, network characteristics were separately calculated for each village. Villages were strongly connected units, with almost all participants included in single village-wide components. The only exceptions to this were 8 pairs of isolated dyads, who were connected only to each other and were later removed from the dataset as same household pairs.

Fig. 1 shows the network of a randomly chosen village from the dataset. Lower caste individuals (scheduled caste and scheduled tribe) are depicted by diamonds while higher caste individuals (obc and general) are depicted by circles. Latrine owners are orange and non-latrine owners are purple. The size of an individual node is proportionate to the proportion of that individual's social contacts that owns a latrine. Discrete clusters of high and low caste individuals are readily apparent in the figure, as are clusters of latrine owners vs. non-latrine owners. It is also apparent from this figure that latrine owners are more likely to be higher caste.

While the results of the bivariate analysis (see Table 2) suggest that almost all of the important predictors are statistically significant, when added together in a multivariate analysis the effects of language, religion, and all of the roof type categories (save RCC),

Table 2
Results of GEE logistic regression using dyadic observations on predictors of latrine ownership in rural Karnataka India, N = 117,340.

	Bivariate analyses			Multivariate model ^a 1 main effect			Multivariate model ^a 2 tie strength interaction			Multivariate model ^a 3 same caste interaction			Multivariate model ^a 4 same educ interaction		
	Beta	SE	P	Beta	SE	P	Beta	SE	P	Beta	SE	P	Beta	SE	P
Alter's latrine ownership	0.891	0.032	<0.001	0.178	0.034	<0.001	0.099	0.040	0.014	0.042	0.061	0.496	0.139	0.035	<0.001
Education in years	0.126	0.005	<0.001	0.062	0.006	<0.001	0.061	0.006	<0.001	0.064	0.007	<0.001	0.060	0.007	<0.001
Number of rooms in house	0.611	0.038	<0.001	0.344	0.046	<0.001	0.342	0.046	<0.001	0.341	0.046	<0.001	0.342	0.046	<0.001
Number of beds in house	0.588	0.060	<0.001	0.189	0.054	<0.001	0.189	0.054	<0.001	0.189	0.054	<0.001	0.189	0.054	<0.001
Ration card															
Above poverty line	Ref			Ref											
Below poverty line	-1.512	0.078	<0.001	-0.749	0.098	<0.001	-0.744	0.098	<0.001	-0.745	0.098	<0.001	-0.745	0.098	<0.001
No ration card	-0.663	0.098	<0.001	-0.353	0.127	0.006	-0.347	0.127	0.006	-0.348	0.127	0.006	-0.348	0.127	0.006
Caste															
Scheduled caste	Ref			Ref											
Scheduled tribe	-0.113	0.197	0.568	0.141	0.238	0.554	0.150	0.239	0.531	0.123	0.240	0.606	0.149	0.239	0.533
OBC	1.378	0.097	<0.001	0.660	0.115	<0.001	0.717	0.113	<0.001	0.709	0.113	<0.001	0.716	0.113	0.000
General	1.795	0.120	<0.001	1.084	0.170	<0.001	1.120	0.168	<0.001	1.091	0.168	<0.001	1.120	0.168	0.000
Household electricity															
Private	Ref			Ref											
Govt	-1.842	0.098	<0.001	-0.956	0.113	<0.001	-0.949	0.112	<0.001	-0.949	0.112	<0.001	-0.950	0.112	<0.001
No	-2.391	0.334	<0.001	-1.438	0.298	<0.001	-1.434	0.297	<0.001	-1.436	0.297	<0.001	-1.433	0.297	0.000
Roof-type															
Thatch	Ref			Ref											
Tile	1.131	0.444	0.011	-0.284	0.497	0.568	-0.267	0.497	0.591	-0.269	0.497	0.588	-0.267	0.497	0.591
Stone	2.020	0.443	<0.001	0.563	0.503	0.263	0.568	0.502	0.258	0.565	0.503	0.261	0.568	0.502	0.258
Sheet	1.754	0.446	<0.001	0.486	0.498	0.329	0.504	0.497	0.310	0.501	0.498	0.314	0.504	0.497	0.311
RCC	3.399	0.447	<0.001	1.428	0.512	0.005	1.433	0.511	0.005	1.432	0.512	0.005	1.434	0.512	0.005
Other	0.746	0.488	0.126	-0.490	0.540	0.365	-0.480	0.540	0.374	-0.479	0.540	0.376	-0.480	0.540	0.374
Religion															
Hindu	Ref														
Islamic	0.819	0.146	<0.001	-0.132	0.487	0.787									
Language															
Kannada	Ref														
Tamil	-0.403	0.170	0.017	-0.213	0.212	0.315									
Telugu	-0.514	0.086	<0.001	-0.022	0.118	0.851									
Hindi	0.769	0.151	<0.001	0.690	0.508	0.174									
Tie Strength							-0.009	0.006	0.103						
Tie Strength* alter latrine							0.026	0.009	0.003						
Same caste binary										-0.156	0.053	0.003			
Same caste* alter latrine										0.183	0.072	0.011			
Same education binary													-0.086	0.040	0.029
Same education* alter latrine													0.219	0.051	0.000

^a all multivariate models include village-level fixed effects not shown and general estimating equation clustering on the ego.

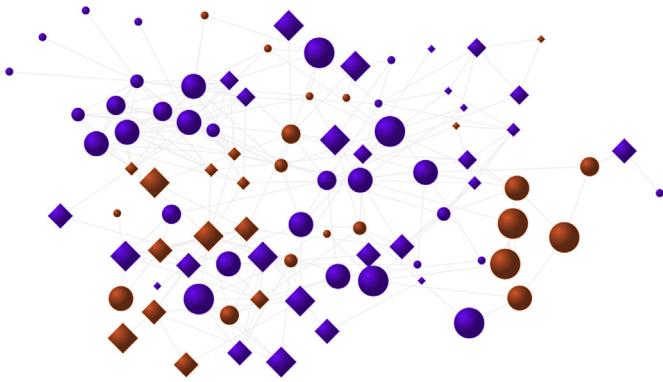


Fig. 1. A network depiction of a randomly chosen village from the dataset. Orange nodes are latrine owning and purple nodes are latrine non-owning. Circles are higher caste (OBC and general), diamonds are lower castes (scheduled tribe and scheduled caste). The size of the node increases according to the proportion of the individual's friends that own latrines. Note that: 1) latrine owners are predominantly higher caste (OBC or general) shown by the orange circles 2) latrine owners tend to be clustered together as evident by the groupings of orange versus the groupings of purple 3) a higher proportion of latrine owners have friends with latrines shown by the high proportion of larger orange nodes 4) those latrine owners who have a smaller proportion of friends with latrines tend to be more central to the network evident by the smaller orange nodes near the center versus the smaller purple nodes near the periphery.

diminish to non-significance. Consistent with prior research, those of the highest castes have the highest probability of owning a latrine versus those of the scheduled caste (OR 1.83, CI 1.41–2.38 for OBC and OR 2.90, CI 1.98–4.25 for General Caste). For each increase in education category, the odds of owning a latrine are 1.07 (CI 1.05–1.08) times that of the lowest education level. Those considered above the poverty level have an odds of latrine ownership 2.24 times higher (CI 1.81–2.78) than those considered below the poverty level. Household level predictors of latrine ownership are having private electricity versus having none (OR 3.28, CI 1.65–6.54), RCC roof type versus thatch (OR 5.26, CI 1.73–15.9), more rooms in the house (OR 1.40, CI 1.27–1.55), and more beds (OR 1.24, CI 1.10–1.38).

In the bivariate analysis, there is a strong correlation between ego's latrine ownership with alter's latrine ownership (see Fig. 2). The odds of ego owning a latrine if alter does are 2.44 (CI 2.25–2.64) times the odds of ego owning a latrine if alter does not. While this effect decreases in the multivariate model (OR 1.27 CI 1.16–1.39), it remains significant even when accounting for caste, education, religion, language, poverty level, household level income indicators, and village-level fixed effects (see Fig. 3). Fig. 1 shows the relationship between latrine owners and non-latrine owners within the network of one village within the dataset. Latrine owners are more likely to have friends who own latrines, evidenced by their larger node sizes.

In order to test the validity of our results, we ran several falsification and robustness tests. First, when we include non-significant variables in the model, the observed relationship between ego and alter latrine ownership does not diminish (See SA Table SA1). Second, to guard against the possibility that our results occurred by chance, we ran 200 permutations in which we randomly assigned ego/alter connections within each village while maintaining the degree distributions for both egos and alters. (See SA Table SA2). We then reran our main analysis for each of these permutations. The results show that the latrine correlations between the permuted pairs are insignificant, suggesting that our original results are robust. Third, the significant relationship between ego's and alter's latrine ownership also persists even when including another level of analysis in which we tested the full

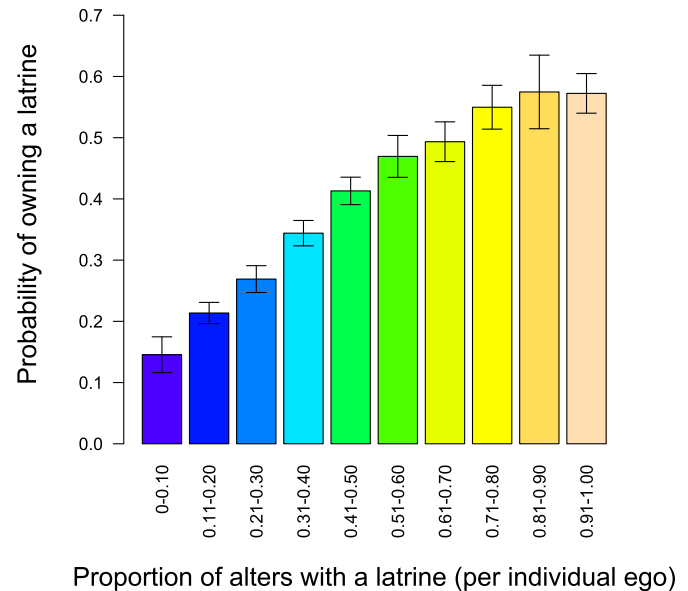


Fig. 2. The probability of an individual owning a latrine increases as the proportion of their social contacts owning a latrine increases.

multivariate model plus all of alter's covariates (see SA Table SA3). Fourth, to understand whether our significant results were the outcome of our model specifications, we ran the model again using linear regression. The results did not change (see SA Table SA4). Fifth and finally, to tease out possible differential effects in the relationship between ego's and alter's latrine ownership depending upon which name generator was used, we ran 12 separate models for each network by name generator (see SA Table SA5). We found that, for all but one name generator, the relationship between ego's and alter's latrine ownership is significant, although the effect size

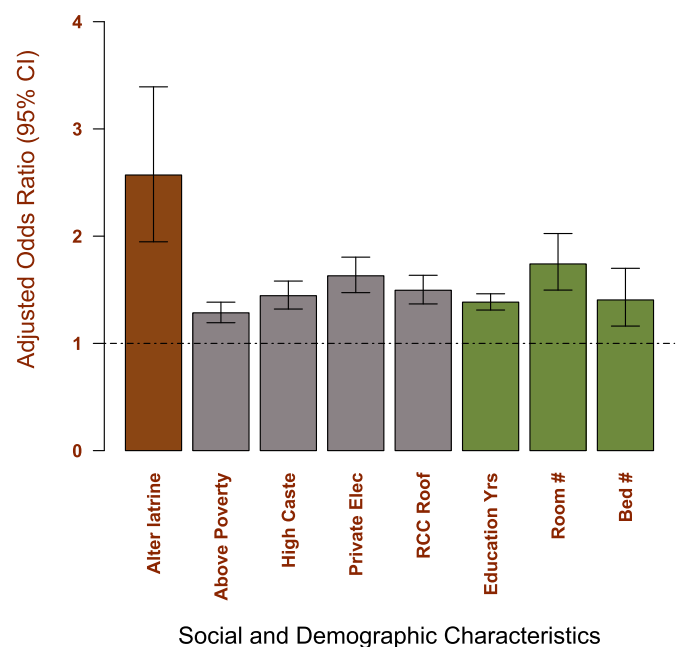


Fig. 3. The odds of individual latrine ownership as a function of a 1 standard deviation increase in each social and demographic characteristic, controlling for all other variables in the model including village-level fixed effects. For this figure, all categorical predictors (poverty, caste, elec, roof) were dichotomized. The simplified model used for this figure is shown in SA Table 11.

Table 3

Results of GEE logistic regression analyses using dyadic observations testing the effect of an individual's network characteristics on the probability of latrine ownership, rural Karnataka India, $N = 117,340$.

	Univariate			Multivariate ^a			Multivariate ^b controlling for total degree			Interaction with alter latrine: betweenness			Interaction with alter latrine: closeness		
Betweenness	0.230	0.022	<0.001	0.087	0.025	0.001	0.076	0.046	0.024	0.114	0.029	<0.001			
Betweenness ^b										-0.072	0.025	0.004			
alter latrine															
Alter latrine										0.58	0.146	<0.001			
Total Degree															
Closeness	0.012	0.006	0.033	0.032	0.013	0.011	0.030	0.016	0.061				0.041	0.013	0.002
Closeness ^b													-0.027	0.006	<0.001
alter latrine															
Alter latrine															
Total degree													1.064	0.211	<0.001
Total degree	0.026	0.009	0.006	0.012	0.008	0.124									

^a Separate multivariate models were run for each network characteristic with separate demographic controls including respondent caste, education, ration card category, household electricity, household roof type, number of rooms in house, number of beds in house, alter latrine ownership, and village-level fixed effects.

^b Separate multivariate models were run for betweenness and closeness centrality controlling for total degree plus all demographic controls and village-level fixed effects.

varies slightly from OR 1.19 (CI 1.07–1.31) for *from whom do you take advice* to 1.42 (CI 1.24–1.63) for *with whom are you related*.

To see whether there are factors that influence the strength of the association between ego and alter latrine ownership, we included interactions in the model between alter's latrine ownership and other variables. Interactions with ego's caste and education level were not significant, suggesting that these variables do not influence the effect alter may have on ego (See SA Table SA6). However, the interaction tests for both of the homophily measures were significant. If ego and alter were the same caste, or had the same level of education, then the association between ego's and alter's latrine ownership was slightly but significantly greater (Table 2). Our measure of tie strength also significantly increases the association between ego and alter's latrine ownership (see Table 2). In other words, the more multiplex the tie, the greater the probability that ego owns a latrine if alter does. Although this is not a causal test, it is consistent with other work that suggests social contacts with strong ties are more likely to influence one another than those with weak ties (Bond et al., 2012).

An interesting comparison test is to study whether there is an association between ego and alter for other household assets besides latrines. The dataset generally lacks these kinds of variables, but we were able to duplicate our analyses using household roof type. We find that the correlation between alter's roof type and ego's roof type is significant, but, in contrast to our latrine results, there were no differential effects related to multiplexity, same caste category, or same education category for roof type (see SA Table SA7). This means that egos and alters with stronger social ties and more social similarity are no more likely to own the same kind of roof, and it suggests that social processes may be less important to the choice of roof type than they are for latrines.

In our final set of models, we tested measures of network centrality: namely degree, betweenness, and closeness. Because these measures tend to be highly correlated with each other (See SA Table SA8) we ordered our models so as minimize the risk of collinearity. We first ran bivariate regressions for each network measure. We then ran separate multivariate regressions (multivariate meaning the inclusion of covariate controls and fixed effects) for each network measure. To ensure then that our centrality results were not being driven by degree, we then ran separate models for betweenness and closeness centrality controlling for degree. Our final models were then the interactions.

Degree showed a strong correlation with ego latrine ownership in the bivariate model, but, in the multivariate model, this association disappeared. In contrast, betweenness centrality and closeness centrality remained significant even when including

numerous controls including degree centrality (see Table 3 and SA Table 9 for models run including non-significant variables). Each standard deviation increase in centrality is associated with increased odds of owning a latrine of 1.14 (95% CI 1.08–1.20) for betweenness, and 1.20 (95%CI 1.17–1.23) for closeness.

We also found significant interaction effects for both centrality measures with alter's latrine ownership. While those with the highest centrality measures were most likely to own a latrine, higher centrality measures also diminished the association with alter's latrine ownership. To better understand this dynamic, we stratified the data and repeated the analyses for those who were at the highest and lowest quartiles of both measures. We find that alter having a latrine increases the odds of individual latrine ownership by 1.46 (95% CI 1.25–1.71) for those with the lowest betweenness centrality scores versus an odds of 1.13 (95% CI 1.04–1.22) for those with the highest betweenness centrality. This is even more pronounced for closeness centrality. For those with a low closeness centrality score alter having a latrine increases the odds of ego having one by 1.65 (95% CI 1.41–1.93) versus an odds of 0.97 (95% CI 0.88–1.07) for those of high closeness centrality (See Fig. 4). If a causal process underlies these associations, it suggests that people at the center of the network are less likely to be influenced by their social contacts than people at the periphery. Fig. 1 shows that latrine owners with a smaller proportion of their friends who own latrines tend to be more central (the small orange nodes in the center) while those with a larger proportion of their friends who own latrines tend to be more peripheral (the larger orange nodes on the outskirts).

4. Discussion

We have identified a novel association between latrine ownership and social network characteristics in a rural, developing-world setting. Using sociocentric data from 75 villages in rural India, we tested whether the latrine ownership of a person's social contacts significantly predicted their own latrine ownership. We also explored whether the social network characteristics of an individual increased the probability of owning a latrine.

Controlling for caste, education, income, and village-level fixed effects, we find that a person is significantly more likely to own a latrine if their social contacts also own latrines. We also find that homophily may help to explain some of this association: similarity between ego and alter in caste and education increase the likelihood that they exhibit the same outcome (they both own a latrine or neither owns a latrine). Furthermore, we also find that multiplexity plays a role in this association. Specifically, people who have

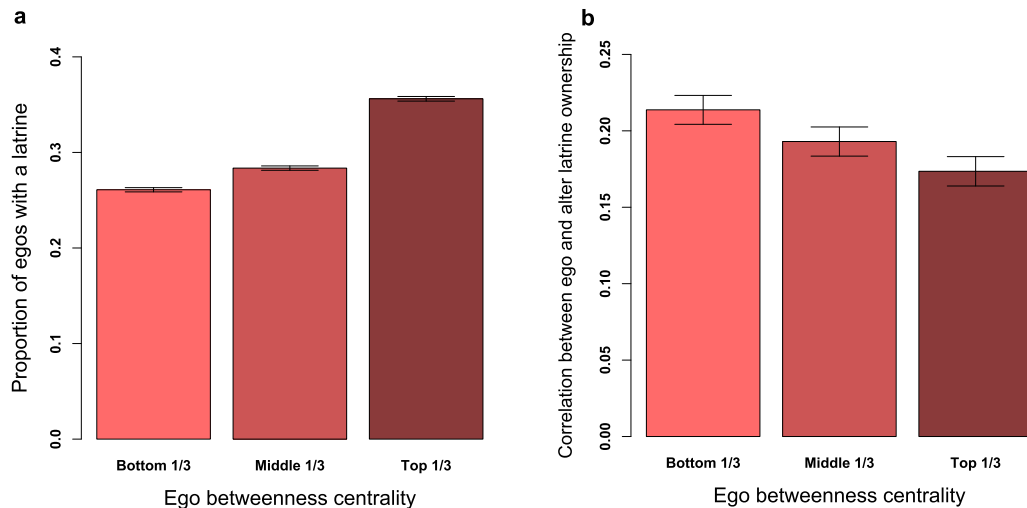


Fig. 4. As individual centrality increases, so does the probability of individual latrine ownership (left frame). However, there is an inverse relationship between centrality and the correlation between ego's and alter's latrine ownership (right frame). As individual centrality increases, the correlation between the latrine ownership of an individual and their social contacts decreases.

social ties in multiple dimensions are more likely to exhibit similarity in latrine ownership. We did not, on the other hand, find this relationship with multiplexity for roof type.

The strong relationship between the latrine ownership of ego and that of alter raises important questions regarding possible causal mechanisms. While the cross-sectional nature of the data precludes firm conclusions regarding causality, we can explore hypotheses that might offer important clues. It is possible to differentiate between two plausible mechanisms by which peer influence effects might take place (Fujimoto et al., 2009; Marsden and Friedkin, 1993).

With *cohesion*, we would expect evidence that direct peer-to-peer contact is the source of knowledge and norms transmission. In other words, people who are directly connected are directly influencing each other through one-on-one interaction (Burt, 1987). On the other hand, *structural equivalency* occurs when two people adopt the same behaviors not because they themselves are necessarily directly connected, but because they are connected to the same people. In this scenario, it is assumed that ego and alter adopt the same behavior because they are vying for position within their greater social network. The greater the similarity between ego and alter with respect to their social ties, and their relative standing amongst those ties, the greater the competition. So ego is likely to adopt a behavior exhibited by alter if ego feels that the adoption of this behavior will give alter an edge in his/her relationship with others in their shared network.

While our dyadic models provide evidence of cohesion, they do not rule out the possibility of structural equivalency. We therefore ran an additional test for structural equivalency (Table SA 10), with the results indicating that the relationship between ego and alter latrine ownership is most likely driven by direct relationships rather than those shared with other people (i.e., there was no evidence of structural equivalency as an explanation).

Adding network measures to the models yielded several further results. First, we found that people with stronger ties exhibit greater similarity in latrine ownership. This is consistent with other work that suggests close friends influence each other more than weak friends (Brown and Reingen, 1987) but it is also possible that people who are more similar (reflected by their ownership of latrines) are more likely to become close. Second, we found that network-level indicators of connectivity (as indicated by betweenness and closeness centrality) were strong predictors of

latrine ownership, even net of numerous controls for socioeconomic status, and net of the number of social contacts (degree). It is not just the popularity of an individual that matters; it is also the individual's structural position within the network as whole. Third, while those who are most central are most likely to own a latrine, they are also potentially less likely to be influenced by their social contacts than those at the periphery. This raises an interesting dilemma for efforts to promote adoption: should we target people who are more influential at the center of the network or people who are potentially more influenceable at the periphery (Christakis and Fowler, 2010a)?

There are several possible explanations for these results. Government-sponsored latrine building campaigns were implemented in Karnataka during the 2000's, though not all areas were uniformly covered (Meenakshisundaram, 2008). However, the rate of household latrine ownership in our overall sample is consistent with that achieved after the government's sanitation campaign, suggesting that government-sponsored toilet building interventions took place in these villages. The high rate of latrine ownership among the most central individuals may thus be explained by the tendency for those most central in a community to receive the most exposure to outside interventions. This is both because their social position naturally offers them greater exposure to innovations spreading in the network, and also because many interventions attempt to target people who may be the most central believing them to be socially influential based on the observable traits of such individuals (Borgatti et al., 2009; Fujimoto et al., 2009; Valente, 2010).

People at the center of the network who have not yet adopted may thus be the least influenceable, which is consistent with previous research showing that central actors and those in denser networks can often be the most constrained by prevalent norms (Gayen and Raeside, 2010a; Kohler et al., 2001; Rosenquist et al., 2010). If latrine adoption has not become normative amongst the majority of the community (which is probable given that only 30% of households in this sample have latrines), then those non-latrine owning central individuals may be the most difficult to persuade.

Thus, contrary to common assumptions about the role of centrality in the adoption of innovations, our results suggest that latrine-building interventions targeting those more peripheral to the network may be surprisingly efficacious. Not only are those more peripheral less likely to own a latrine, but they are also more

likely to have friends without latrines and more susceptible to external interventions. Most importantly, intervention efforts aimed at the more peripheral community members will potentially have larger multiplier effects, as the correlations between ego and alter latrine ownership are much higher in these groups.

Qualitative studies assessing community-led sanitation programs have pointed to the importance of social norms in the success of programs aiming to increase latrine ownership and usage around the world. For the most part, educational health campaigns and government subsidies have failed due to the inability of such approaches to address the social factors involved in latrine adoption, and have focused on convincing and educating *individuals* rather than *groups*. While we cannot definitively conclude that our results reflect the role of normative dynamics, they are suggestive of the possibility. While government-sponsored latrine building programs were unsuccessful at creating normative change, a minority of the population adopted latrines, some of them perhaps due to a social learning dynamic, having observed the benefits accrued to a friend or relation who did so. Overall societal norms, however, did not seem to shift to create the sort of social influence required to tip the majority into adopting latrine building.

Finally, while our results are consistent with previous research showing that latrine ownership is differentially distributed by caste, they also suggest that the potential social effect we observed may be stronger for people who are the same caste. This means that the inherent level of social divisiveness that exists within Indian villages may impede the spread of latrine adoption relative to other parts of the world.

4.1. Limitations of this study

The data used for this analysis was observational and cross-sectional so it is important to be cautious about inferring causation. However, because associations remain significant even when we have accounted for the major predictors of latrine ownership such as caste and education, future research using longitudinal data and experimental designs to investigate possible causality seems warranted.

Due to the static nature of the data, it is also difficult to control for homophily or the possibility that egos and alters are connected due to similarity in the kinds of characteristics that predict latrine ownership. This has long been recognized as a challenge in understanding the nature of social effects and diverse analytic approaches to the problem have been proposed (e.g., Christakis and Fowler, 2007, 2012). Manski, in a seminal paper on this topic, differentiates between endogenous effects (social influence), exogenous effects (common influences) and correlated effects (homophily) (Manski, 1993). We find some evidence that social similarity may moderate the strength of association in latrine ownership between ego and alter, but it is not possible to determine whether this is because similar people choose to socialize with one another, or if it is because similar people are more liable to be influenced by one another (Centola, 2010). Ideally we would like to control for similarities at an initial point in time and then assess the correlations in latrine ownership over the course of a social relationship.

A further limitation is the lack of village-level measures, meaning we cannot identify which environmental factors may be driving some of the results. Higher rates of latrine ownership were predicted in Benin among larger villages and those closer to urban centers (Jenkins and Cairncross, 2010), and in Orissa among those who had been exposed to higher quality government latrine campaigns (Dickinson and Pattanayak, 2012). However, by controlling for village-level fixed effects, we have been able to statistically account for those unmeasured predictors, so they should not affect

the estimates of individual and household level effects that we present here. Finally, this data does not measure actual latrine use. While latrine ownership is certainly an important step towards actually using a latrine, it is not a guarantee. Work with CLTS highlighted the fact that it takes more than actually owning a latrine to ensure that people are really using it (Dyalchand et al., 2008).

5. Conclusion

To our knowledge, this is the first study to quantitatively characterize the social network characteristics associated with latrine ownership. While an enormous amount of time and energy is being devoted to promoting latrine building and use in South Asia, little quantitative evidence exists to inform programs and policies. Our results suggest that social dynamics may be an integral aspect of latrine adoption and that these dynamics possibly require a more complex approach than simply identifying the most central individuals and appealing to them. Qualitative studies have suggested that for latrine building to succeed it must become normative within a community rather than a matter of individual preference. While further studies are certainly warranted to make any definitive recommendations along these lines, our results are consistent with those conclusions.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.socscimed.2014.03.009>.

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